

1. Name of Listed Entity: Lumax Auto Technologies Limited
2. Scrip Code/Name of Scrip/Class of Security: LUMAXTECH
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 31-Mar-2019
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants?	No	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity	No. of Partly paid-up equity	No. of shares underlying Depository	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR,	Number of Voting Rights held in each class of securities			No. of Shares Underlying	Shareholding , as a % assuming full conversion	Number of Locked in shares		Number of Shares pledged or otherwise		Number of equity shares held in dematerializ ed form
			shares held	shares	Receipts	(VII) =	1957)	(IX)	Outstanding convertible securities (including Warrants) (X)	of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)				
			(IV)	held	(VI)	(IV)+(V)+ (VI)	As a % of (A+B+C2)				No. (a)	As a % of total Shar es held (b)	No. (a)	As a % of total Share s held (b)			
			(V)	(V)	(V)	(V)											
			No of Voting Rights			Total as a % of (A+B+ C)											
			Clas s eg: X	Clas s eg:y	Tot al												

A	Promoter & Promoter Group	7	37924430	0	0	37924430	55.64	37924430	0	37924430	55.64	0	55.64	0	0.00		37924430
B	Public	140865	30233275	0	0	30233275	44.36	30233275	0	30233275	44.36	0	44.36	0	0.00		30233260
C	Non Promoter-Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0.00	0	0.00	0	0.00		0
C2	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
	Total	140935	68157705	0	0	68157705	100.00	68157705	0	68157705	100.00	0	100.00	0	0.00		68157690

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	PAN (II)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)	
								Class X	Class Y	Total								
1	Indian	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0	
a	Individuals/Hindu undivided Family	6	25813110	0	0	25813110	37.87	25813110	0	25813110	37.87	0	37.87	0	0.00		25813110	
	DHANESH KUMAR JAIN	1	10594845	0	0	10594845	15.54	10594845	0	10594845	15.54	0	15.54	0	0.00		10594845	
	DHANESH KUMAR JAIN & HUF	1	5904855	0	0	5904855	8.66	5904855	0	5904855	8.66	0	8.66	0	0.00		5904855	
	DHANESH KUMAR JAIN FAMILY TRUST	1	203950	0	0	203950	0.30	203950	0	203950	0.30	0	0.30	0	0.00		203950	
	DEEPAK JAIN	1	4573260	0	0	4573260	6.71	4573260	0	4573260	6.71	0	6.71	0	0.00		4573260	
	ANMOL JAIN	1	4311200	0	0	4311200	6.33	4311200	0	4311200	6.33	0	6.33	0	0.00		4311200	
	SHIVANI JAIN	1	225000	0	0	225000	0.33	225000	0	225000	0.33	0	0.33	0	0.00		225000	

b	Central Government/ State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
c	Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
d	Any Other (specify)		1	12111320	0	0	12111320	17.77	12111320	0	12111320	17.77	0	17.77	0	0.00			12111320
	Other		1	12111320	0	0	12111320	17.77	12111320	0	12111320	17.77	0	17.77	0	0.00			12111320
	Lumax Finance Private Limited			12111320	0	0	12111320	17.77	12111320	0	12111320	17.77	0	17.77	0	0.00			12111320
	Sub-Total (A)(1)		7	37924430	0	0	37924430	55.64	37924430	0	37924430	55.64	0	55.64	0	0.00			37924430
2	Foreign		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
b	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
c	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
d	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
e	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0

Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	7	379240430	0	0	37924430	55.64	379240430	37924430	55.64	0	55.64	0	0.00			37924430
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Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
									No of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)	
									Class X	Class Y	Total								
1	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
a	Mutual Funds/		1	2615078	0	0	2615078	3.84	2615078	0	2615078	3.84	0	3.84	0	0.00			2615078
	DSP SMALL CAP FUND			2615078	0	0	2615078	3.84	2615078	0	2615078	3.84	0	3.84	0	0.00			2615078
b	Venture Capital Funds			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
c	Alternate Investment Funds			1011167	0	0	1011167	1.48	1011167	0	1011167	1.48	0	1.48	0	0.00			1011167
	WHITE OAK INDIA EQUITY FUND			951724	0	0	951724	1.40	951724	0	951724	1.40	0	1.40	0	0.00			951724
d	Foreign Venture Capital		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0

	Investors																		
e	Foreign Portfolio Investors	20	12211813	0	0	12211813	17.92	12211813	0	12211813	17.92	0	17.92	0	0.00			12211813	
	ALBULA INVESTMENT FUND LTD		6158135	0	0	6158135	9.04	6158135	0	6158135	9.04	0	9.04	0	0.00			6158135	
	ASIA INVESTMENT CORPORATION (MAURITIUS) LTD		3250000	0	0	3250000	4.77	3250000	0	3250000	4.77	0	4.77	0	0.00			3250000	
	INDIA ACORN FUND LTD	1	1006000	0	0	1006000	1.48	1006000	0	1006000	1.48	0	1.48	0	0.00			1006000	
f	Financial Institutions/Banks	2	13491	0	0	13491	0.02	13491	0	13491	0.02	0	0.02	0	0.00			13491	
g	Insurance Companies	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0	
h	Provident Funds/Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0	
i	Any Other (specify)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0	
	Sub-Total (B)(1)	26	15851549	0	0	15851549	23.26	15851549	0	15851549	23.26	0	23.26	0	0.00			15851549	
2	Central Government/State Government(s)/ President of India	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0	

	Sub-Total (B)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
3	Non-institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
a	Individuals -	13357	10904622	0	0	10904622	16.00	10904622	0	10904622	16.00	0	16.00	0	0.00		10904607
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	13352	9791749	0	0	9791749	14.37	9791749	0	9791749	14.37	0	14.37	0	0.00		9791734
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	5	1112873	0	0	1112873	1.63	1112873	0	1112873	1.63	0	1.63	0	0.00		1112873
b	NBFCs registered with RBI	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
c	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
d	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
e	Any Other (specify)	703	3477104	0	0	3477104	5.10	3477104	0	3477104	5.10	0	5.10	0	0.00		3477104
	Bodies Corporate	212	2161639	0	0	2161639	3.17	2161639	0	2161639	3.17	0	3.17	0	0.00		2161639
	Clearing	107	340640	0	0	340640	0.50	340640	0	340640	0.50	0	0.50	0	0.00		340640

member			0					0		640								
IEPF		1	6005	0	0	6005	0.01	6005	0	6005	0.01	0	0.01	0	0.00			6005
Non-Resident Indian (NRI)		383	968820	0	0	968820	1.42	968820	0	968820	1.42	0	1.42	0	0.00			968820
Sub-Total (B)(3)		14060	14381726	0	0	14381726	21.10	14381726	0	14381726	21.10	0	21.10	0	0.00			14381711
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		14086	30233275	0	0	30233275	44.36	30233275	0	30233275	44.36	0	44.36	0	0.00			30233260

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	No. of shareh older (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII) = [IV+V+VI]	Sharehol ding % calculate d as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholdin g, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerializ ed form (XIV) (Not Applicable)
									No of Voting Rights			Total as a % of Total Voting rights			No.	As a % of total Shar es held	No. (No t ap pli ca ble)	As a % of total share s held (Not applic able)	
									Class X	Class Y	Tot al								
1	Custodian/DR Holder		0	0	0	0	0		0	0	0	0.00	0		0	0.00			0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

Name	PAN	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest
				Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %